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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/902,112         | 07/11/2001          | Shigeyuki Kuroda      | KOM-137/INO            |

CONFIRMATION NO. 8215

## FORMALITIES LETTER



RADER, FISHMAN & GRAUER, P.L.L.C.  
Suite 501  
1233 20th Street, NW  
Washington, DC 20036

Date Mailed: 08/28/2001

## NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

A filing date has NOT been accorded to the above-identified application papers for the reason(s) indicated below.

All of the items noted below **and a newly executed oath or declaration covering the items must** be submitted within **TWO MONTHS** of the date of this Notice, unless otherwise indicated, or proceedings on the application will be terminated (37 CFR 1.53(e)).

The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(h)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition.

- The specification is missing.
- The specification does not include at least one claim.  
*A complete specification as prescribed by 35 U.S.C. 112 is required.*

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

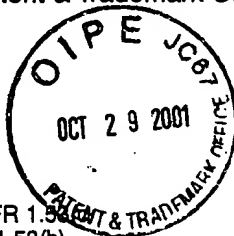
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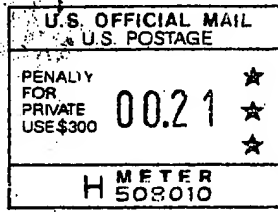
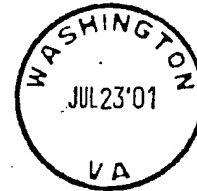
Serial No. \_\_\_\_\_ Filed: JULY 11 2001 Attorney: PRK/mar  
Applicant: KURODA Docket No.: 2000-209621 Date Mailed: \_\_\_\_\_  
Title: METHOD AND APPARATUS FOR... ☐ Courier ☐ First Class Mail ☐ Express Mail No.  
The following was/were received in the U.S. Patent & Trademark Office Mail Room on the date stamped herein:

☒ Filing Form for Application\*  
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☒ Originating in the U.S.  
\_\_\_\_ National Phase under Chapter I  
\_\_\_\_ National Phase under Chapter II  
☒ Filing Form for Application\*  
(in duplicate if charging deposit account).  
\_\_\_\_ Provisional Application under 37 CFR 1.53(a)  
☒ Regular Application under 37 CFR 1.53(b)  
\_\_\_\_ CPA Application under 37 CFR 1.53(d)  
\_\_\_\_ Continuation Application under 37 CFR 1.53(b)  
\_\_\_\_ Divisional Application under 37 CFR 1.53(b)  
\_\_\_\_ Cont. In Part Application under 37 CFR 1.53(b)  
\_\_\_\_ Design  
\_\_\_\_ RCE  
\_\_\_\_ Other \_\_\_\_\_  
\_\_\_\_ \*Patent Application including:  
\_\_\_\_ Pages Specification  
\_\_\_\_ Pages Claims (Claims 1 through 5)  
\_\_\_\_ Pages Abstract  
\_\_\_\_ Sheets of Drawings (Fig. 1 through 7)  
\_\_\_\_ Declaration/Power of Attorney (2 pages)  
\_\_\_\_ Verified Stmt-Small Entity Status  
\_\_\_\_ Executed Power of Attorney  
\_\_\_\_ Priority Document(s) No. 2000-209621 cover sheet  
\_\_\_\_ Assignment(s) & cover sheet  
\_\_\_\_ Request to Approve Drawing Change.  
with \_\_\_\_\_ Sheets of Red-Line Drawings  
\_\_\_\_ Sheets Formal Drwgs w/Cover Sheet  
\_\_\_\_ Request for Refund



80296-002

Request for Corrected Filing Receipt  
Completion of Filing Requirements  
Request for Certificate of Correction  
Request for Non-Publication  
Request for Notice of Filing Cont./Div.  
Request to Extend Patent Terms  
Transmittal for Amendment (Response)  
Petition for \_\_\_\_\_ Mo. Extension of Time  
(in duplicate if charging deposit account)  
Amendment (Response)  
Preliminary Amendment (Response)  
Response to Election Restriction Requirement  
Information Disclosure Statement, with  
Form(s) PTO-1449 (\_\_\_\_\_ sheets) and  
copies of references  
\_\_\_\_ Affidavit or \_\_\_\_\_ Declaration under  
37 CFR \_\_\_\_\_ \$1.131 \_\_\_\_\_ \$1.132  
Notice of Appeal  
Petition \_\_\_\_\_  
Status Inquiry  
Issue Fee Transmittal  
\_\_\_\_ Separate post card(s) 710-220  
Deposit Account Charges  
Other: \_\_\_\_\_



**RADER, FISHMAN & GRAUER PLLC**

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The PTO did not receive the following  
listed item(s) Specification Claim  
Abstract

RECEIVED  
OCT 31 2001  
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KOM-136/ITO  
(80296-002)

09/902,112  
BOX DAC

POSTCARD BEARING

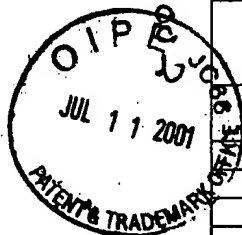
OFFICE DATE STAMP OF JULY 11, 2001

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Serial No. \_\_\_\_\_ Filed: JULY 11 2001 Attorney: PPK/mas  
Applicant: WUBA Docket No.: SKM-136/100 Date Mailed: \_\_\_\_\_  
Title: METHOD AND APPARATUS FOR . . . ☐ Courier ☐ First Class Mail ☐ Express Mail No.

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| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> Originating in the U.S.                                    | <input type="checkbox"/>            | Completion of Filing Requirements                         |
| <input type="checkbox"/>            | <input type="checkbox"/> National Phase under Chapter I  | <input type="checkbox"/>            | Request for Certificate of Correction                     |
| <input type="checkbox"/>            | <input type="checkbox"/> National Phase under Chapter II                                       | <input type="checkbox"/>            | Request for Non-Publication                               |
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| <input type="checkbox"/>            | <input checked="" type="checkbox"/> Provisional Application under 37 CFR 1.53(c)               | <input type="checkbox"/>            | Request to Extend Patent Terms                            |
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> Regular Application under 37 CFR 1.53(b)                   | <input type="checkbox"/>            | Transmittal for Amendment (Response)                      |
| <input type="checkbox"/>            | <input type="checkbox"/> CPA Application under 37 CFR 1.53(d)                                  | <input type="checkbox"/>            | Petition for _____ Mo. Extension of Tim                   |
| <input type="checkbox"/>            | <input type="checkbox"/> Continuation Application under 37 CFR 1.53(b)                         | <input type="checkbox"/>            | (in duplicate if charging deposit account)                |
| <input type="checkbox"/>            | <input type="checkbox"/> Divisional Application under 37 CFR 1.53(b)                           | <input type="checkbox"/>            | Amendment (Response)                                      |
| <input type="checkbox"/>            | <input type="checkbox"/> Cont. In Part Application under 37 CFR 1.53(b)                        | <input type="checkbox"/>            | Preliminary Amendment (Response)                          |
| <input type="checkbox"/>            | <input type="checkbox"/> Design  | <input type="checkbox"/>            | Response to Election Restriction Requirement              |
| <input type="checkbox"/>            | <input type="checkbox"/> RCE   | <input type="checkbox"/>            | Information Disclosure Statement, with                    |
| <input type="checkbox"/>            | <input type="checkbox"/> Other _____   | <input type="checkbox"/>            | Form(s) PTO-1449 (_____ sheets) and                       |
| <input type="checkbox"/>            |  | <input type="checkbox"/>            | copies of references                                      |
| <input type="checkbox"/>            |  | <input type="checkbox"/>            | _____ Affidavit or _____ Declaration under                |
| <input checked="" type="checkbox"/> | *Patent Application including:   | <input type="checkbox"/>            | 37 CFR _____ \$1.131 _____ \$1.132                        |
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> Pages Specification  | <input type="checkbox"/>            | Notice of Appeal  |
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> Pages Claims (Claims 1 through <u>5</u> )                  | <input type="checkbox"/>            | Petition _____  |
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> Pages Abstract   | <input type="checkbox"/>            | Status Inquiry  |
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> Sheets of Drawings (Fig. 1 through <u>7</u> )              | <input type="checkbox"/>            | Issue Fee Transmittal                                     |
| <input checked="" type="checkbox"/> | Declaration/Power of Attorney ( <u>2</u> pages)  | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> Separate post card(s) |
| <input checked="" type="checkbox"/> | Verified Stmt-Small Entity Status  | <input type="checkbox"/>            | Deposit Account Charges <u>70.270</u>                     |
| <input checked="" type="checkbox"/> | Executed Power of Attorney   | <input type="checkbox"/>            | Other: _____  |
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> Priority Document(s) No. <u>P2200-209620</u> & cover sheet | <input type="checkbox"/>            |   |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> Assignment(s) & cov r sheet   | <input type="checkbox"/>            |   |
| <input type="checkbox"/>            | Request to Approve Drawing Change.   | <input type="checkbox"/>            |   |
| <input type="checkbox"/>            | with _____ Sheets of Red-Line Drawings   | <input type="checkbox"/>            |   |
| <input type="checkbox"/>            | _____ Sheets Formal Drwgs w/Cover Sheet  | <input type="checkbox"/>            |   |
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DOE-7/11/01